

Express Mail Label No. EL 807553439 US

Docket No. EMCORE 3.0-047
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Provisional Application of:
Mark Gottfried

Application No.:

Group Art Unit:

Filed: October 12, 2001

Examiner: Not Yet Assigned

For: METHOD OF MAKING AN ELECTRODE

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 12, 2001

Respectfully submitted,

By Kimberly V. Perry
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: January 25, 2002

Signature:

(Kimberly V. Perry)

Docket No.: EMCORE 3.0-047
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:
Mark Gottfried

Application No.: 09/976,342

Group Art Unit: 2812

Filed: October 12, 2001

Examiner: Not Yet Assigned

For: METHOD OF MAKING AN ELECTRODE

Commissioner for Patents
Washington, DC 20231

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It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The listed references were cited in a Search Report in connection with applicant's corresponding International application. A copy of the International Search Report and a copy of the references are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

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Dated: January 25, 2002

Respectfully submitted,

By

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	
Filing Date	October 12, 2001
First Named Inventor	Mark Gottfried
Group Art Unit	
Examiner Name	
Attorney Docket Number	EMCORE 3.0-047

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A	5,284,798		Ibuka et al.	02-08-1994	
	B	5,665,639		Seppala et al.	09-09-1997	
	C	5,767,008		Haji	06-16-1998	
	D	5,994,763		Ohmuro	11-30-1999	
	E	6,089,066		Huang et al.	05-30-2000	
	F	6,096,572		Nakamura	08-01-2000	
	G	6,169,021		Akram et al.	01-02-2001	
	H	6,261,864		Jung et al.	07-17-2001	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office ⁴	Number ⁴	Kind Code ² (if known)				

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	
		Filing Date	October 12, 2001
		First Named Inventor	Mark Gottfried
		Group Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	EMCORE 3.0-047

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	7 ²

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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Atty Docket No.: EMCORE 3.0-047

Due Date: October 13, 2001

Title: METHOD OF MAKING AN ELECTRODE

Application No.:

Documents Filed:

Fee Transmittal
Utility Patent Application Transmittal
28 pages of specification
7 sheets of drawings
Unexecuted Declaration
Information Disclosure Statement
PTO-1449 (w/cited references)

JC821 U.S. PTO

09/976342

10/12/01

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